

Study of $SU(N)$ spin chains via anomaly and global inconsistency matching

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$SU(2)$ spin chains and Haldane conjecture

Consider the 1D quantum antiferromagnetic spin chain ($J > 0$):

$$H = J \sum_i \mathbf{S}_i \cdot \mathbf{S}_{i+1}.$$

Haldane ('83) showed that the low-energy EFT is the \mathbb{CP}^1 NL σ M,

$$S = \frac{1}{g^2} \int |(\mathrm{d} + \mathrm{i}a)z|^2 + \frac{\mathrm{i}\theta}{2\pi} \int \mathrm{d}a,$$

with $\theta = 2\pi|\mathbf{S}|$ (as $|\mathbf{S}| \gg 1$).

- $S = 1, 2, 3, \dots \Rightarrow$ The system has the mass gap (Polyakov, '75).
- $S = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots \Rightarrow$ The system is gapless, especially described by $SU(2)_1$ WZW model (Affleck, Haldane, '87).

Generalization of the Haldane conjecture to $SU(N)$

Consider the $SU(N)$ spin chain with p -box rep. on each site.

Nonlinear sigma model

Under certain assumptions, Bykov ('12,'13) and Lajko et al. ('17) showed that the LEFT is $SU(N)/U(1)^{N-1}$ NL σ M:

$$S = \frac{1}{g^2} \sum_{i=1}^N \int |(\mathrm{d} + \mathrm{i}a_i)z_i|^2 + \mathrm{i} \sum_{i=1}^N \frac{\theta_i}{2\pi} \int \mathrm{d}a_i + \dots$$

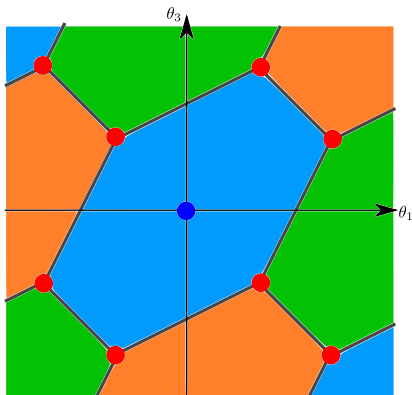
Here, $U = [z_1, \dots, z_N]$ is an $SU(N)$ matrix, and

$$\theta_k = \frac{2\pi p}{N} k.$$

Using this result, we constrain the phase diagram by [anomaly and global inconsistency matching](#), and generalize the Haldane conjecture.

Phase diagram of $SU(3)/U(1)^2$ NL σ M

Before showing the computation, let us show the result:



- Different colors = different SPT phases (by global inconsistency)
- Red blobs = $SU(3)_1$ WZW model, or trimerized phase (by anomaly matching)

Symmetries of $SU(3)/U(1)^2$ NL σ M

$PSU(3)$ spin symmetry

$z_i \mapsto V z_i$ with $V \in SU(3)$, coming from the spin rotation
 $\mathbf{S}_i \mapsto V \mathbf{S}_i V^{-1}$.

\mathbb{Z}_3 permutation symmetry

$z_i \mapsto z_{i+1}$ and $a_i \mapsto a_{i+1}$, coming from lattice translation $\mathbf{S}_i \mapsto \mathbf{S}_{i+1}$.
This symmetry exists only for special theta angles, such as $\theta_i = \frac{2\pi p}{3}i$.
(Red and blue blobs in the Figure)

Parity, P_k

$\theta_i \mapsto -\theta_{k-i}$, coming from $\mathbf{S}_i \mapsto \mathbf{S}_{k-i}$.

This exists only for special theta's, satisfying $\theta_i + \theta_{k-i} = 0 \bmod 2\pi$.
(Gray lines in the Figure)

Gauging $PSU(3)$ symmetry

We will see that the spin rotation and lattice translation cannot be gauged simultaneously (= **mixed 't Hooft anomaly**).

To gauge $PSU(3)$, we introduce (cf. Kapustin, Seiberg, 2014)

- A : $U(3)$ gauge field,
- B : $U(1)$ two-form gauge field, with $3B = d(\text{tr}(A))$.

The gauged action is given by

$$S[(A, B)] = \frac{1}{g^2} \sum_{i=1}^3 \int |(d + ia_i + iA)z_i|^2 + i \sum_{i=1}^3 \frac{\theta_i}{2\pi} \int (da_i + B) + \dots$$

$\Rightarrow 2\pi$ periodicity of theta's becomes $2\pi N (= 6\pi)$.

$PSU(3)$ - \mathbb{Z}_3 't Hooft anomaly

Define the partition function $Z[A, B]$ with the $PSU(3)$ gauge field.
 \mathbb{Z}_3 lattice translation gives

$$Z[A, B] \mapsto Z[A, B] \exp \left(i p \int B \right).$$

This phase is nontrivial iff $p \neq 0 \bmod 3$, and gives 't Hooft anomaly.
't Hooft anomaly matching is satisfied by

- SSB of \mathbb{Z}_3 lattice translation (trimerized phase), or
- Conformal field theory.

Second choice gives the $SU(3)$ version of the Haldane conjecture.
(Affleck, Lieb, '86)

$SU(3)$ Wess-Zumino-Witten model

The level- k $SU(3)$ WZW model is defined by

$$S = \frac{k}{8\pi^2} \int_{M_2} |d\mathcal{U}|^2 + \frac{ik}{12\pi} \int_{M_3} \text{tr}[(\mathcal{U}^{-1}d\mathcal{U})^3].$$

The model has the chiral symmetry, and we pay attention to its subgroup:

$$\frac{SU(3)_L \times SU(3)_R}{\mathbb{Z}_3} \supset PSU(3)_V \times (\mathbb{Z}_3)_L.$$

We can show that it has the same anomaly of $SU(3)/U(1)^2$ model if

$$\gcd(3, p) = \gcd(3, k).$$

\Rightarrow Combined with the C -theorem, we conjecture that the anomaly is matched by $SU(3)_1$ WZW.

$PSU(3)$ -P global inconsistency

We take two parity symmetry point, such as $(\theta_1, \theta_3) = (0, 0)$ and $(2\pi, 0)$. Then,

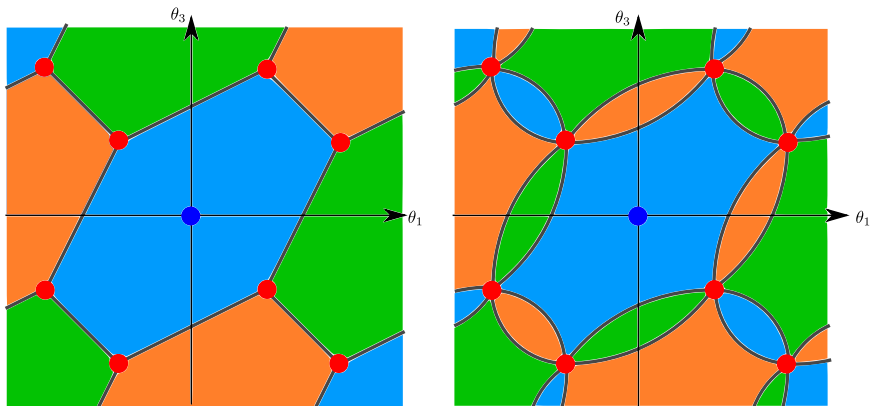
$$\begin{aligned} P &: Z_{(0,0)}[A, B] \mapsto Z_{(0,0)}[A, B], \\ Z_{(2\pi,0)}[A, B] &\mapsto Z_{(2\pi,0)}[A, B] \exp \left(-2i \int B \right). \end{aligned}$$

The second one is **not** anomaly, because we can cancel it by a counter term $i \int B$.

This is the **global inconsistency**, i.e. we cannot gauge P for both points with the same counterterm (Gaiotto, Kapustin, Komargodski, Seiberg, '18). This is matched by (Tanizaki, Kikuchi, '18)

- Those two points are different symmetry-protected topological orders protected by $PSU(3)$.
- One of them has nontrivial ground states.

Two possible phase diagrams of $SU(3)/U(1)^2$ NL σ M



- Different colors = different SPT phases (by global inconsistency)
- Red blobs = $SU(3)_1$ WZW model, or trimerized phase (by anomaly matching)

Summary

- Anomaly matching provides a strong constraint on nonperturbative physics.
- We almost completely determined the phase structure of $SU(N)$ spin chains just by symmetry, anomaly, and global inconsistency.
- Haldane conjecture is generalized to $SU(N)$ spin chains:
 $SU(N)$ antiferromagnetic spin chain with p -box rep. is described by the level- $\gcd(N, p)$ $SU(N)$ Wess-Zumino-Witten model.